1 2	Cheryl Johnson-Hartwell (SBN 221063) E-mail: cjohnson-hartwell@bwslaw.com Keiko J. Kojima (SBN 206595) Electronically FILED by Superior Court of California, County of Los Angeles			
	E-mail: kkojima@bwslaw.com 5/14/2024 3:22 PM			
3 4	BURKE, WILLIAMS & SORENSEN, LLP 444 South Flower Street, Suite 2400 Los Angeles, California 90071-2953	Executive Officer/Clerk of Court, By J. Tang, Deputy Clerk		
5	Tel: 213.236.0600 Fax: 213.236.2700			
6	Attorneys for Specially-Appearing Defendants NORTHERN DATA US, INC., and			
7	NORTHERN DATA US HOLDINGS, INC.			
8	SUPERIOR COURT OF THE STATE OF CALIFORNIA			
9	COUNTY OF LOS ANGELES, CENTRAL DISTRICT			
10				
11	JOSHUA PORTER, an individual, and GULSEN KAMA, an individual,	Case No. 24STCV05852		
12		APPLICATION FOR SEALING OF		
13	Plaintiffs,	PORTIONS OF FILED DOCUMENT; MEMORANDUM OF POINTS AND		
14	V.	AUTHORITIES		
15	NORTHERN DATA US, INC., a Delaware corporation; NORTHERN DATA US HOLDINGS, INC., a Delaware corporation;	[Filed concurrently with Declaration of Keiko J. Kojima; Stipulation for Sealing; and		
16	and DOES 1 through 10, inclusive,	[Proposed] Order]		
17	Defendants.	Action Filed: March 8, 2024 Trial Date: n/a		
18				
19	TO THE COURT AND ALL PARTIES:			
20		ta Noutham Data US. Inc. and Northam Data US		
21	PLEASE TAKE NOTICE that Defendants Northern Data US, Inc. and Northern Data US			
22	Holdings, Inc. ("Defendants") hereby apply for an order to seal portions of the Complaint filed in			
23	this action on March 8, 2024, with the Redacted Complaint ("Exhibit "1" to Declaration of Keiko			
24	J. Kojima) to remain on the public docket.			
25	Defendants contest personal jurisdiction in California, particularly as to the claims of			
26		dent who had been employed by Northern Data in		
27	New Jersey, thus Defendants' appearance for the purpose of the Application and supporting papers			
28	is a special appearance, and does not constitute a	general appearance.		

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1	This Application is made pursuant to California Rules of Court Rules 2.550 and 2.551.
2	The Application will be based on the attached Memorandum of Points and Authorities, the
3	Declaration of Keiko J. Kojima ("Kojima Decl."), and the parties' Stipulation for Sealing of
4	Portions of Filed Document.
5	
6	MEMORANDUM OF POINTS AND AUTHORITIES
7	In accordance with Rules 2.550 and 2.551 of the California Rules of Court, Defendants
8	Northern Data US, Inc., and Northern Data US Holdings, Inc. apply to this Court to seal the filed
9	Complaint in this action, with the redacted complaint described herein remaining on the public
10	docket. Good cause exists for the sealing, which is narrow, as follows.
11	I. The Complaint and Sealing In The Federal Court
12	On March 8, 2024, Plaintiffs filed their Complaint in this Court. [Kojima Decl., ¶2]
13	Defendants were each served with the Complaint on March 25, 2024. [Id.]
14	On April 24, 2024, Defendants filed a Notice of Removal of Civil Action in the United
15	States District Court for the Central District of California along with the Appendix of State Court
16	Documents ("Appendix"), which was incorporated into the Notice of Removal. The Appendix
17	contained as Exhibit "1" a redacted Complaint ("Redacted Complaint"). [Kojima Decl., ¶2; Exh.
18	"1" to Kojima Decl.]
19	On April 25, 2024, Defendants filed in this Court a Notice of Removal of Civil Action,
20	informing this Court that they had removed the instant proceeding to the Central District of
21	California. [Kojima Decl., ¶3]
22	Defendants contend that the Complaint contains confidential and privileged information,
23	including allegations regarding communications to/from/involving Northern Data's former Chief
24	Legal and Compliance Officer, Jim Black and/or Deputy General Counsel Europe, Martin
25	
26	Northern Data US, Inc., and Northern Data US Holdings, Inc. are/were wholly-owned
27	subsidiaries of Northern Data AG, a German public company. Northern Data US, Inc., Northern Data US Holdings, Inc., and Northern Data AG are collectively referred to herein as "Northern
28	Data."

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Rinscheid. For example, Defendants maintain that the Complaint directly quotes the content of April 12, 2023 and April 19, 2023 emails sent by Jim Black providing legal advice [Complaint, ¶¶41-42], along with other allegations of communications and actions concerning Jim Black that, based on the allegations in the Complaint, Defendants contend were made for the purpose of obtaining or providing legal advice. [Kojima Decl., ¶4]

On April 24, 2024, Defendants sought sealing of the Complaint in the federal court action by filing an Application for Leave to File Document Under Seal. [Kojima Decl., ¶5; Exh. "2" to Kojima Decl.] The federal court sealing Application was filed concurrently with the Declaration of Rosanne Kincaid-Smith in Support of the Application to File Document Under Seal ("Kincaid-Smith Declaration"). [Kojima Decl., ¶5; Exh. "3" to Kojima Decl.]

On April 25, 2024, Judge Otis D. Wright II of the Central District of California issued an order (ECF No. 12) granting Defendants' Application to File Document Under Seal (the "Federal Court Sealing Order"). [Kojima Decl., ¶6; Exh. "4" to Kojima Decl.]

II. <u>Defendants' Application for Sealing</u>

Defendants' Application for sealing in the Federal Court Action and the accompanying Kincaid-Smith Declaration explain the grounds for Defendants' sealing request. [Exh. "2" and "3" to Kojima Decl.] Defendants seek sealing of portions of the Complaint on the grounds that these portions, which are redacted in the public filing, constitute confidential and privileged communications protected by the attorney-client privilege and attorney work product doctrine. Defendants maintain that the information is also protected as confidential under non-disclosure agreements signed by Plaintiffs Joshua Porter and Gulsen Kama.

California Rule of Court §2.550 *et seq*. governs the placement of documents under seal by a court. Court records are presumed open unless "confidentiality is required by law." CRC §2.550(c). For purposes of a motion to seal, "[a] document which is protected by the lawyer-client privilege is not subject to the [Rule 2.550] findings requirements" because such documents "are required to be kept confidential by law." *Huffy Corp. v. Superior Court*, 112 Cal. App. 4th 97, 110 & n.6 (2003) (citing an extant portion of the predecessor rule to Rule 2.550); *NBC Subsidiary (KNBC-TV), Inc. v. Super. Ct.*, 20 Cal. 4th 1178, 1217-18 (1999) (acknowledging the overriding

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interest in "protection of information within the attorney-client privilege"). California's attorney-			
client privilege allows a client "to refuse to disclose, and to prevent another from disclosing, a			
confidential communication between client and lawyer" Cal. Evid. Code § 954. "A corporation			
is a person whose confidential communications with its attorney are protected by the attorney-			
client privilege." Zurich Am. Ins. Co. v. Superior Ct., 155 Cal. App. 4th 1485, 1496 (2007)			
(internal citation and quotation marks omitted).). "[T]he fundamental purpose behind the			
privilege is to safeguard the confidential relationship between clients and their attorneys so as to			
promote full and open discussion of the facts and tactics surrounding individual legal matters.			
Mitchell v. Superior Ct., 37 Cal. 3d 591, 599 (1984) (internal citation omitted).			

In his role as the Chief Legal and Compliance Officer at Northern Data, Jim Black was requested to and provided legal input and advice to Northern Data. As demonstrated by its content, Mr. Black's April 12, 2023 and April 19, 2023 email communications quoted in Paragraphs 41 and 42 were made for the purpose of obtaining or providing legal advice, including rendering legal advice in anticipation of potential litigation. These emails are considered and maintained as privileged and confidential by Northern Data. [Exh. "3" to Kojima Decl., (Kincaid-Smith Decl., ¶¶5, 7)] The communications contain discussion of legal advice or strategy of counsel, which are protected under the attorney-client privilege. California Evidence Code § 952 "provides that a 'confidential communication' 'includes a legal opinion formed and the advice given by the lawyer in the course of that relationship.' " Zurich Am. Ins. Co., 155 Cal. App. 4th at 1503. The Complaint also describes Plaintiff Kama's alleged discussions with Jim Black and contains allegations regarding Mr. Black's statements, opinions, communications, and actions which, as demonstrated based on the allegations in the Complaint, were made for the purpose of obtaining or providing legal advice.

With respect to work product, "[a] writing that reflects an attorney's impressions, conclusions, opinions, or legal research or theories is not discoverable under any circumstances." Cal. Civ. Proc. Code § 2018.030(a); see also People v. Collie, 30 Cal. 3d 43, 59, 634 P.2d 534, 543 (1981) ("At its core, the work-product doctrine shelters the mental processes of the attorney, providing a privileged area within which he can analyze and prepare his client's case.") Here,

based on the allegations of the Complaint, the protected communications constitute opinion attorney work product as the information and advice communicated were made in anticipation of litigation. [Exh. "3" to Kojima Decl., (Kincaid-Smith Decl., ¶¶5,7)].

Moreover, the Protected Communications with Mr. Black also constitute Confidential Information subject to non-disclosure provisions in the Confidential Information, Assignment and Non-Solicitation Agreement signed by Josh Porter and Gulsen Kama respectively on April 12, 2022 and June 21, 2022. [Exh. "3" to Kojima Decl., (Kincaid-Smith Decl., ¶8)]

Accordingly, Defendants request that this Application be granted and that the unredacted Complaint be ordered to be placed under seal.

III. Plaintiffs Agree to the Sealing of the Complaint, But Reserve their Rights

The Parties have met and conferred, and agree to the sealing of the unredacted Complaint in this Court. Plaintiffs do not oppose the sealing, at least provisionally, but reserve their rights to contest any privilege or confidentiality protections afforded to the information alleged in the Complaint. Accordingly, the Parties have agreed that they do not waive and hereby reserve all rights and defenses as to confidentiality and privilege. The Parties further agree that they do not waive and hereby reserve all rights and defenses as to jurisdiction and venue.

IV. The Court Has Jurisdiction to Issue the Seal Order

This Court has jurisdiction to grant this Application and enter its order sealing the Complaint pursuant to its inherent authority to manage records on its docket pursuant to its inherent authority to manage records on its docket, including to seal them in appropriate cases. Although this Court no longer has authority over the merits of this action, the removal statute does not purport to divest a state court of its inherent authority to manage its docket following removal. [See, e.g. Exh. "5" to Kojima Decl. (state court order in Tara O'Connor-Roche v. RBC Capital Markets, LLC, et al., Supreme Court of the State of New York, New York County Case No. 650796/2022, dated May 4, 2022, ordering and placing documents under seal following removal of action to federal court)]. ,Moreover, the relief sought would not in any way affect or conflict with the proceedings in the Federal Court Action. The parties are seeking only to protect the same information on both dockets.

V. **Conclusion** For the reasons set forth above, the redacted portions of the Complaint should be placed under seal as requested above. Dated: May 14, 2024 BURKE, WILLIAMS & SORENSEN, LLP By: Cheryl Johnson-Hartwell Keiko J. Kojima Attorneys for Defendants NORTHERN DATA US, INC., and NORTHERN DATA US HOLDINGS, INC.

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PROOF OF SERVICE 1 2 Porter, et al., v., Northern Data US inc., et al. **Case No. 24STCV05852** 3 STATE OF CALIFORNIA, COUNTY OF LOS ANGELES 4 At the time of service, I was over 18 years of age and not a party to this action. I am 5 employed in the County of Los Angeles, State of California. My business address is 444 South Flower Street, Suite 2400, Los Angeles, CA 90071-2953. 6 On May 14, 2024, I served true copies of the following document(s) described as APPLICATION FOR SEALING OF PORTIONS OF FILED DOCUMENT; MEMORANDUM OF POINTS AND AUTHORITIES on the interested parties in this action as 8 follows: Russell M. Selmont, Esq. Attorney for Plaintiffs ERVIN COHEN & JESSUP, LLP 9401 Wilshire Boulevard, Twelfth Floor Tel: 310.273.6333 Beverly Hills, California 90212-2974 Fax: 310.859.2325 Email: rselmont@ecilaw.com 11 12 **BY MAIL:** I enclosed the document(s) in a sealed envelope or package addressed to the persons at the addresses listed in the Service List and placed the envelope for collection and mailing, following our ordinary business practices. I am readily familiar with the practice of Burke, Williams & Sorensen, LLP for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with 15 postage fully prepaid. I am a resident or employed in the county where the mailing occurred. The 16 envelope was placed in the mail at Los Angeles, California. 17 BY E-MAIL OR ELECTRONIC TRANSMISSION: I caused a copy of the document(s) to be sent from e-mail address amcmaster@bwslaw.com to the persons at the e-mail 18 addresses listed in the Service List. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful. 19 I declare under penalty of perjury under the laws of the State of California that the 20 foregoing is true and correct. 21 Executed on May 14, 2024, at Los Angeles, California. 22 Aliciamemaster 23 Alicia McMaster 24 25 26 27

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